



MINNESOTA HISTORICAL SOCIETY
Minnesota State Archives

COMMERCE DEPARTMENT
Securities Division

An Inventory of Its Investors Syndicate Case File

OVERVIEW OF THE RECORDS

Agency: Minnesota. Securities Division.
Series Title: Investors Syndicate case file.
Dates: [1900s] – [1950s].
Quantity: 3.0 cu. ft. (3 boxes).
Location: See Detailed Description section for box locations.

SCOPE AND CONTENTS OF THE RECORDS

Correspondence (1926-1958) and subject files (1900s-1950s) regarding the operation of and sale of securities by Investors Syndicate, later Investors Diversified Services [IDS]. The subject files include audit reports (1937-1952, incomplete); Banking Division examination reports (1943-1947, 1949); a detailed summary (1944-1948); various company reports, including those submitted to the Banking Division (1938-1958), and legal and financial documents (1900s-1950s); National Association of Securities Commissioners' reports (1939, 1942-1946, 1951); and supplementary examination reports (1943-1956).

INDEX TERMS

This collection is indexed under the following headings in the catalog of the Minnesota Historical Society. Researchers desiring materials about related topics, persons or places should search the catalog using these headings.

Topics:

Financial services industry—Investigating—Minnesota.
Investigating.
Regulating.
Securities industry—Investigating—Minnesota.

Organizations:

Investors Diversified Services.
Investors Syndicate.
Minnesota. Banking Division.
Minnesota. Dept. of Commerce.
National Association of Securities Commissioners.

Types of Documents:

Audits.
Legal documents.

ADMINISTRATIVE INFORMATION

Preferred Citation:

[Indicate the cited volume and page or item and folder title here]. Minnesota. Securities Division. Investors Syndicate Case File. Minnesota Historical Society. State Archives.
See the Chicago Manual of Style for additional examples.

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DETAILED DESCRIPTION OF THE COLLECTION

Note to Researchers: To request materials, please note both the location and box numbers shown below.

| Location | Box | |
|-----------------|------------|--|
| 114.A.12.4F | 1 | Correspondence, 1926-1958. 12 folders. Subject files, [1900s] – [1950s]. Attorneys opinions, 1947-1949. Audit reports, 1937-1939, 1941-1943, 1947-1952. 12 volumes. |
| 114.A.12.5B | 2 | Bank approved exchange transactions, 1946-1951. Banking Division--State Examiners, 1943-1957. Banking Division examination reports, 1943-1947, 1949. 10 volumes. Complaint of A. G. Bundy, et al., 1921. Company reports to Banking Division, 1943, 1945, 1947-1949. 5 volumes. Detailed summary, 1944-1948. 2 folders. Documents borrowed and missing, 1950-1952. |

| Location | Box | |
|-----------------|------------|---|
| 114.A.12.5B | 2 | Federal National Mortgage Association, 1948-1953. First National Bank, Minneapolis, 1947-1958. Investors Diversified Services, Inc. reports, printed, 1938-1958. Includes some annual reports. Miscellaneous: Consent decree, voting trust agreement, by-laws, proxy statement, [1900s] – [1940s]. |
| 133.B.12.3B | 3 | Miscellaneous: Old complaints, old agents, references, [1910s] – [1930s]. National Association of Securities Commissioners reports, 1939, 1942-1946, 1951. 7 volumes. Officers signatures authorized, 1945-1955. Report of BK Dept., 1932. SEC action v. Investors Syndicate, [1940s]. Stocks and bonds, 1950-1958. Supplementary reports on examination, 1943-1956. 4 folders. Surrender and loan figures, [1910s] – [1950s]. |